



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Koike *et al.*

Appl. No.: 10/662,820

Filed: September 16, 2003

For: **Quinone-based Therapeutic
Agent for Hepatopathy**

Confirmation No.: 1102

Art Unit: 1614

Examiner: Henley III, Raymond J.

Atty. Docket: 1089.0410001

First Supplemental Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-SB/08B is a document that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of the document is provided.

The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed May 7, 2004, in connection with the above-captioned application.

The Examiner's attention is drawn to document **AJ2** on form PTO/SB/08B. This document was previously submitted as document **AF2** in Applicants' Information Disclosure Statement filed May 7, 2004. On that Information Disclosure Statement, Applicants listed the date of on-line publication as July 8, 2002, which is incorrect. The correct date of on-line publication is April 11, 2002, as indicated on the accompanying Form PTO/SB/08B. Applicants request that the examiner line through document **AF2** on the original Information Disclosure Statement, and initial document **AJ2** on Form PTO/SB/08B.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached Form PTO/SB/08B based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

Attached is our PTO-2038 Credit Card Payment Form in an amount which includes \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

Koike *et al.*
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It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO/SB/08B, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Substitute for form 1449/PTO

**FIRST SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet

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of

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Complete if Known

Application Number	10/662,820
Filing Date	September 16, 2003
First Named Inventor	Koike <i>et al.</i>
Art Unit	1614
Examiner Name	Raymond J. Henley, III
Attorney Docket Number	1089.0410001/MAC/DJN

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author, title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher	T ²
	AJ2	Koike, Y. <i>et al.</i> , "Randomized Prospective Study of Prevention from Tumor Invasion into Portal Vein in 120 Patients with Hepatocellular Carcinoma by Vitamin K Administration," available online at: http://ddw02.agora.com/planner/displayabstract.asp?presentationid=31 (April 11, 2002)	

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.